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S. P	UTILITY	Attorney Docket No.		PC19103B		
ば	PATENT APPLICATION	First Inventor		MICHAEL COLLINS		
	TRANSMITTAL		Title .		BENZOFUSED HETEROZRYL AMIDE DERIVATIVES OF THIENOPYRIDINES USEFUL AS THERAPEUTIC AGENTS, PARMACEUTICAL COMPOSITIONS INCLUDING THE SAME, AND METHODS FOR THEIR USE	
(Only for new nonapplications under 37C.F.R. §1.53(b))		Express Mail Label No.		EL 969716585 US O		
APPLICATION ELEMENTS		ADDRESS TO:		Mail Stop Patent Applications Commissioner for Patents		
See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box 1450 Alexandria, VA 22313-1450				
1. 2	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)  Applicant claims small entity status	7. CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)				
3.	See 37 CFR 1.27  Specification [Total Pages 193]		· —	• •	le Copy (CRF)	
, J	(preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R&D  - Reference to sequence listing, a table, or a computer program listing appendix	a. Computer Readable Copy (CRF)  b. Specification Sequence Listing on:     i. CD-ROM or CD-R (2 copies)     ii. Paper  c. Statement verifying identity of above copies				
	- Background of the Invention	ACCOMPANYING APPLICATION PARTS				
4. [ 5. [	- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  Drawing(s) (35 U.S.C. 113) [Total sheets]  Oath or Declaration	9. X 10. 11. 12. X 13. X 14. X 15. 16. 16.	37 CFR 3.73(b) (when there is English Trans Information D Statement (ID Preliminary A Return Recei (Should be sp Certified Copy (if foreign price) Nonpublication	Statement s an assigned station Document of Solution State of the stat	Copies of IDS Citations  MPEP 503) mized) Cocument(s)	
6	Application Data Sheet. See 37 CFR 1.76	17.	or its equivale Other:	ent.		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.						
[	Continuation Divisional Continuation	ontinuation Divisional Continuation-in-part (CIP) of prior application No: 10/ 460,010				
Prior application information: ExaminerCharanjit AulakhGroup/Art Unit:1625  For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts						
19. CORRESPONDENCE ADDRESS						
Customer Number 28940 or Correspondence address below						
Name						
Addres	ss					
City	State	_		Zip Code		
Countr	<u> </u>			Fax		
	NAME (Print/type) Stephen D. Prodnuk	Registration	n No. (Attorney	/Agent)	43,020	
Ľ	Signature Star		Date	l	03/09/04	

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OFCOMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known FEE TRANSMITTAL **Application Number** TBA for FY 2004 Filing Date Herewith First Named Inventor MICHAEL COLLINS Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** TBA Applicant claims small status. See 37 CFR 1.27 Art Unit TBA **Total Amount of Payment** \$1,536.00 Attorney Docket No. PC19103B METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None 3. ADDITIONAL FEES Order Large Entity **Small Entity** Deposit Account: Fee Description Fee Paid Code (\$) Code (\$) Deposit 500329 1051 130 2051 65 Surcharge - late fee or oath Account Number Deposit Agouron Pharmaceuticals, Inc. 1052 50 2052 25 Surcharge-late filing fee or cover sheet Account Name The Director is authorized to: (check all that apply) 1053 130 1053 130 Non-English specification Charge fee(s) indicated below Credit any overpayments 1812 2,520 1812 2,520 For filing a request for Ex Parte reexamination ☑Charge any additional fee(s) or any underpayment of fee(s) 1804 920 1804 9201 Requesting publication of SIR prior to Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840\* 1805 1,840\* Requesting publication of SIR after to the above-identified deposit account Examiner action 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month **Small Entity Large Entity** 1253 950 2253 475 Extension for reply within third month Fee Fee Fee Fee 1254 1,480 2254 740 Extension for reply within fourth month Code Code (\$) (\$) Fee Description Fee Paid 1001 770 2001 385 Utility filing fee 1255 2,010 2255 1.005 Extension for reply within fifth month 770 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2203 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 2005 RΛ 160 filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive unavoidable Subtotal (1)s 1453 2453 \$ 770 1.330 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 2501 1,330 665 Utility issue fee (or reissue) Extra Claims Fee from Fee Paid 1502 480 2502 240 Design issue fee below Total Claims 53 20\*\* = 33 18.00 594.00 1503 640 2503 320 Plant issue fee Independent 5 2 86.00 172.00 x 1460 130 1460 130 Petitions to the Commissioner Claims Multiple Dependent 1807 50 1807 Processing fee under 37 CFR 1.17(q) 50 1806 180 1806 180 Submission of Information Disclosure Stmt Large Entity Small Entity Fee Description 8021 8021 40 Recording each patent assignment per Code (\$) Code property (times number of properties) 1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 ČFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1203 290 2203 · 145 Multiple dependent claim, if not paid 1801 770 2801 385 Request for Continued Examination (RCE) 1204 86 2204 \*\*Reissue independent claims over 1802 900 1802 900 Request for expedited examination original patent of a design application 1205 18 2205 \*Reissue independent claims over Other Fee (specify) original patent 1536.00 \*\*or number previously paid, if greater; For Reissues, see above \*Reduced by Basic Filing Fee Paid Subtotal (3) (\$) -0-

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SUBMITTED BY

Signature

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Stephen D. Prodnuk

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file ( and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed applicatoin form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and /or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Box 1450

Registration No.

(Attorney Agent)

43,020

(Complete if applicable)

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858-622-3087

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1 page;

Julie Agozino

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **COLLINS, ET AL** 

Serial No.: To be assigned

(DIV of 10/460,010)

Filed: Concurrently herewith

For: BENZOFUSED HETEROARYL AMIDE **DERIVATIVES OF THIENOPYRIDINES USEFUL AS THERAPEUTIC AGENTS,** PHARMACEUTICAL COMPOSITIONS INCLUDING THE SAME, AND METHODS FOR THEIR USE

Mail Stop: Patent Applications Honorable Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit: 1625

Examiner: Charanjit Aulakh

Attorney Docket No. PC19103B

## TRANSMITTAL LETTER

Transmitted herewith are the following documents:

1. Utility Patent Application Transmittal

12 pages; 2 Preliminary Amendment 3 pages; 3. Declaration 9 pages: 4. Power of Attorney 2 pages; 5. Recordation Cover Sheet 5 pages; 6. Assignment 4 pages; 7. Application Data Sheet 193 pages; 8. Specification 1 page + dupicate 9. Fee Transmittal 2 pages; 10. Information Disclosure Statement 5 pages; 11. Form (PTO.SB/08A) 1 page; and 12. Return Postcard Deposit Account.

Respectfully submitted,

Date: Mans 9, 2004

13. Total Fee Due \$1,536.00

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